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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

. (PCT Article 36 and Rule 70)

| Applicant's or agent's file reference | FOR FURTHER ACTION | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) | | | |
|--|---|---|--|--|--|
| IC01-04PCT International application No. | International filing date (day/mon | nth/year) Priority date (day/month/year) | | | |
| PCT/US04/00847 13 January 2004 (13.01.2004) | | | | | |
| International Patent Classification (IPC) or national classification and IPC | | | | | |
| IPC(7): B60T 1/00 and US Cl.: 188/4B; 2 | 280/757: 152/208 | | | | |
| Applicant | | | | | |
| FRED SMITH | | | | | |
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| This international prelimin Examining Authority and | nary examination report has been is transmitted to the applicant ac | n prepared by this International Preliminary ecording to Article 36. | | | |
| 2. This REPORT consists of | 2. This REPORT consists of a total of sheets, including this cover sheet. | | | | |
| which have been ame | nded and are the basis for this re | sheets of the description, claims and/or drawings eport and/or sheets containing rectifications made 7 of the Administrative Instructions under the PCT). | | | |
| These annexes consist of a | a total of 19 sheets. | | | | |
| 3. This report contains indica | ations relating to the following i | items: | | | |
| I Basis of the rep | oorl . | | | | |
| II Priority | | | | | |
| III Non-establishm | Non-establishment of report with regard to novelty, inventive step and industrial applicability | | | | |
| IV Lack of unity o | | | | | |
| V Reasoned state applicability; c | ment under Article 35(2) with reitations and explanations suppor | egard to novelty, inventive step or industrial rting such statement | | | |
| VI Certain docum | | | | | |
| VII Certain defects | in the international application | | | | |
| | ations on the international applic | | | | |
| The Contain boson | | | | | |
| | | | | | |
| Date of submission of the demand | Date | e of completion of this report | | | |
| 14 August 2005 (14.08.2005) | 22 N | November 2005 (22.11.2005) | | | |
| Name and mailing address of the IPEA/ | 'US Auti | horized officer | | | |
| Commissioner for Patents P.O. Box 1450 | Chr | ristopher P. Schwartz - / While MC | | | |
| Alexandria, Virginia 22313-1450 | Tele | ephoné No. (571) 272-3600 | | | |
| Facsimile No. (571) 273-3201 Form PCT/IPEA/409 (cover sheet)(July 1 | | | | | |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

| International application No. | = |
|-------------------------------|---|
| PCT/US04/00847 | |

| 1. | Basis | of the report |
|----|----------------|--|
| 1. | With | egard to the elements of the international application:* |
| | | the international application as originally filed. |
| | \boxtimes | the description: |
| | | pages NONE as originally filed pages 1-13, filed with the demand |
| | | pages NONE , filed with the letter of |
| | \boxtimes | the claims: |
| | | pages NONE, as originally filed pages NONE, as amended (together with any statement) under Article 19 |
| | | pages 14-17, filed with the demand |
| | K 7 | pages NONE, filed with the letter of |
| | \boxtimes | the drawings pages 1-10.13 as originally filed |
| | | pages 11-12 , filed with the demand |
| | _ | pages NONE, filed with the letter of |
| | | the sequence listing part of the description: |
| | | pages NONE, as originally filed pages NONE, filed with the demand |
| | | pages NONE, filed with the letter of |
| 2 | . With lang | regard to the language, all the elements marked above were available or furnished to this Authority in the large in which the international application was filed, unless otherwise indicated under this item. |
| | The | e elements were available or furnished to this Authority in the following language which is: |
| | | the language of a translation furnished for the purposes of international search (under Rule23.1(b)). |
| | | the language of publication of the international application (under Rule 48.3(b)). |
| | | the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3). |
| 3 | . With inter | regard to any nucleotide and/or amino acid sequence disclosed in the international application, the national preliminary examination was carried out on the basis of the sequence listing: |
| İ | | contained in the international application in printed form. |
| | | filed together with the international application in computer readable form. |
| | <u> </u> | furnished subsequently to this Authority in written form. |
| | <u></u> | furnished subsequently to this Authority in computer readable form. |
| | | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. |
| | | The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished. |
| 4 | ı. 🛛 | The amendments have resulted in the cancellation of: |
| | | the description, pages NONE |
| | | the claims, Nos. 2,4,5,13,15,16,19 |
| | | the drawings, sheets/fig NONE |
| ļ | 5. | This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).** |
| ١. | his ret | acement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in ort as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17). replacement sheet containing such amendments must be referred to under item 1 and annexed to this report. |
| | | |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Form PCT/IPEA/409 (Box V) (July 1998)

International application No. PCT/US04/00847

| Claims NONE NO | | ch statement | strial applicability; |
|--|---|--|--|
| Claims NONE NO Inventive Step (IS) Claims 1,3,6-12,14,17,18,20-27 YES Claims NONE NO Industrial Applicability (IA) Claims 1,3,6-12,14,17,18,20-27 YES Claims NONE NO Clai | . STATEMENT | | |
| Inventive Step (IS) Claims NONE NONE NO Industrial Applicability (IA) Claims 1.3.6-12.14.17.18.20-27 YES Claims NONE NONE NO Industrial Applicability (IA) Claims 1.3.6-12.14.17.18.20-27 YES Claims NONE NO 2. CITATIONS AND EXPLANATIONS Claims 1.3.6-12.14.17.18.20-27 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest chain traction system having a sealed housing which comprises input and output apertures; an electric drive motor having a reversible rotational output secured to the housing and having an armature shaft extending through the input aperture; an intermediate drive shaft rotatably mounted within the sealed housing; a spring loaded clutch coupled to the intermediate drive shaft amount of torque which may be applied to the intermediate drive shaft; a speed reduction gear train interposed between the armature shaft and the spring loaded clutch; a worm axially installed on the intermediate drive shaft; an output shaft rotatably mounted within it sealed housing and extending through the output aperture; a deployment arm coupled to a portion of the output shaft that is external to the sealed housing, the deployment arm having rotatably mounted thereto a friction drive disc, the friction drive disc having peripheral attached thereto a plurality of chain segments: a worm gear coupled to the output shaft, which meshes with the worm on the intermediate drive shaft, rotational movement of the worm and worm gear, the shock loads associated with rotational moments of the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft be the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft be independent claims NEW CITATIONS NONE NOL | Novelty (N) | Claims 1,3,6-12,14,17,18,20-27 | YES |
| Industrial Applicability (IA) Claims NONE NO Industrial Applicability (IA) Claims 1,3,6-12,14,17,18,20-27 Claims NONE NO Claims 1,3,6-12,14,17,18,20-27 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest chain traction system having a sealed housing which comprises input and output apertures; an electric drive motor having a reversible rotational output secured to the housing and having an armature shaft extending through the input aperture; an intermediate drive shaft orotatably mounted within the sealed housing, a spring loaded clutch coupled to the intermediate drive shaft with the clutch limiting the amount of torque which may be applied to the intermediate drive shaft; a speed reduction gear train interposed between the armature shaft and the spring loaded clutch; a worm axially installed on the intermediate drive shaft no output shaft rotatably mounted within the sealed housing, the deployment arm having rotatably mounted thereto a friction drive disc, the friction drive disc having peripheral attached thereto a plurality of chain segments: a worm gear coupled to the output shaft, which meshes with the worm on the intermediate drive shaft, rotational movement of the worm imparting rotational movement to the output shaft; and a shock damper coupled to the output shaft which mitigates shock loads applied to the worm and worm gear, the shock loads associated with rotational moments of the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft belectric motor. The prior art of record neither alone, or in combination, anticipates or renders obvious the claimed limitations in the independent claims | | Claims NONE | |
| Industrial Applicability (IA) Claims NONE Claims 1,3,6-12,14,17,18,20-27 YES Claims 1,3,6-12,14,17,18,20-27 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest chain traction system having a sealed housing which comprises input and output apertures; an electric drive motor having a reversible rotational output secured to the housing and having an armature shaft extending through the input aperture; an intermediate drive shaft rotatably mounted within the sealed housing; a spring loaded clutch coupled to the intermediate drive shaft with the clutch limiting the amount of forque which may be applied to the intermediate drive shaft; a speed reduction gear train interposed between the armature shaft and the spring loaded clutch; a worm axially installed on the intermediate drive shaft; an output shaft rotatably mounted within the sealed housing and extending through the output aperture; a deployment arm coupled to a portion of the output shaft that is external to the sealed housing, the deployment arm having rotatably mounted thereto a friction drive disc, the friction drive disc having peripheral attached thereto a plurality of chain segments: a worm gear coupled to the output shaft, which meshes with the worm on the intermediate drive shaft, rotational movement of the worm imparting rotational movement to the output shaft; and a shock damper coupled to the output shaft which mitigates shock loads applied to the worm and worm gear, the shock loads associated with rotational moments of the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft be electric motor. The prior art of record neither alone, or in combination, anticipates or renders obvious the claimed limitations in the independent claims | Inventive Stan (IS) | Claims 1 3 6-12 14 17 18 20-27 | YES |
| Claims NONE Claims 1,3,6-12,14,17,18,20-27 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest chain traction system having a sealed housing which comprises input and output apertures; an electric drive motor having a reversible rotational output secured to the housing and having an armature shaft extending through the input aperture; an intermediate drive shaft rotatably mounted within the sealed housing, a spring loaded clutch coupled to the intermediate drive shaft with the clutch limiting the amount of torque which may be applied to the intermediate drive shaft; a speed reduction gear train interposed between the armature shaft and the spring loaded clutch; a worm axially installed on the intermediate drive shaft; an output shaft rotatably mounted within the sealed housing and extending through the output aperture; a deployment arm coupled to a portion of the output shaft that is external to the sealed housing, the deployment arm having rotatably mounted thereto a friction drive disc, the friction drive disc having peripheral attached thereto a plurality of chain segments: a worm gear coupled to the output shaft, which meshes with the worm on the intermediate drive shaft, rotational movement of the worm imparting rotational movement to the output shaft; and a shock damper coupled to the output shaft which mitigates shock loads applied to the worm and worm gear, the shock loads associated with rotational moments of the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft be electric motor. The prior art of record neither alone, or in combination, anticipates or renders obvious the claimed limitations in the independent claims NEW CITATIONS | mvenuve step (15) | - | |
| Claims NONE Claims 1,3,6-12,14,17,18,20-27 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest chain traction system having a sealed housing which comprises input and output apertures; an electric drive motor having a reversible rotational output secured to the housing and having an armature shaft extending through the input aperture; an intermediate drive shaft rotatably mounted within the sealed housing, a spring loaded clutch coupled to the intermediate drive shaft with the clutch limiting the amount of torque which may be applied to the intermediate drive shaft; a speed reduction gear train interposed between the armature shaft and the spring loaded clutch; a worm axially installed on the intermediate drive shaft; an output shaft rotatably mounted within the sealed housing and extending through the output aperture; a deployment arm coupled to a portion of the output shaft that is external to the sealed housing, the deployment arm having rotatably mounted thereto a friction drive disc, the friction drive disc having peripheral attached thereto a plurality of chain segments: a worm gear coupled to the output shaft, which meshes with the worm on the intermediate drive shaft, rotational movement of the worm imparting rotational movement to the output shaft; and a shock damper coupled to the output shaft which mitigates shock loads applied to the worm and worm gear, the shock loads associated with rotational moments of the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft be electric motor. The prior art of record neither alone, or in combination, anticipates or renders obvious the claimed limitations in the independent claims NEW CITATIONS | | 01 1 10 6 10 14 17 10 00 27 | VES |
| Claims 1,3,6-12,14,17,18,20-27 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest chain traction system having a sealed housing which comprises input and output apertures; an electric drive motor having a reversible rotational output secured to the housing and having an armature shaft extending through the input aperture; an intermediate drive shaft rotatably mounted within the sealed housing; a spring loaded clutch coupled to the intermediate drive shaft with the clutch limiting the amount of torque which may be applied to the intermediate drive shaft; a speed reduction gear train interposed between the armature shaft and the spring loaded clutch; a worm axially installed on the intermediate drive shaft; an output shaft rotatably mounted within the sealed housing and extending through the output aperture; a deployment arm coupled to a portion of the output shaft that is external to the sealed housing, the deployment arm having rotatably mounted thereto a friction drive disc, the friction drive disc having peripheral attached thereto a plurality of chain segments: a worm gear coupled to the output shaft, which meshes with the worm on the intermediate drive shaft, rotational movement of the worm imparting rotational movement to the output shaft; and a shock damper coupled to the output shaft which mitigates shock loads applied to the worm and worm gear, the shock loads associated with rotational moments of the deployment arm caused primarily by uneven road surfaces; and means for limiting torque applied to the output shaft be electric motor. The prior art of record neither alone, or in combination, anticipates or renders obvious the claimed limitations in the independent claims | Industrial Applicability (IA) | | |
| | Claims 1,3,6-12,14,17,18,20-27 meet the criteria set chain traction system having a sealed housing which totational output secured to the housing and having rotatably mounted within the sealed housing, a spring mount of torque which may be applied to the interstant and the spring loaded clutch; a worm axially it sealed housing and extending through the output apost the sealed housing, the deployment arm having rotatatached thereto a plurality of chain segments: a wointermediate drive shaft, rotational movement of the coupled to the output shaft which mitigates shock it moments of the deployment arm caused primarily but the electric motor. The prior art of record neither alone, or in independent claims | h comprises input and output apertures; an electric drive an armature shaft extending through the input aperture; ag loaded clutch coupled to the intermediate drive shaft mediate drive shaft; a speed reduction gear train interpositable on the intermediate drive shaft; an output shaft are enture; a deployment arm coupled to a portion of the outably mounted thereto a friction drive disc, the friction of the gear coupled to the output shaft, which meshes with evoral imparting rotational movement to the output shaft applied to the worm and worm gear, the shock loads applied to the worm and worm gear, the shock load by uneven road surfaces; and means for limiting torque and combination, anticipates or renders obvious the claime | motor having a reversible an intermediate drive shaft with the clutch limiting the sed between the armature rotatably mounted within the put shaft that is external to drive disc having peripheral the worm on the aft; and a shock damper is associated with rotational applied to the output shaft be |
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